

THE 2018 SMCR UPDATE SEMINAR

2nd October 2018

At **WOMBLE BOND DICKINSON**

4 More London Riverside, London, SE1 2AU
(Nearest Station: London Bridge)



**HELPING FIRMS TO PREPARE FOR THE SMCR
REQUIREMENTS**

ORGANISED BY THE COMPLIANCE REGISTER

Telephone: (01908) 322450, Mobile: 07954 104534

Email: Events_Info@ComplianceR.com

Website: www.ComplianceR.com

THE 2018 SMCR UPDATE SEMINAR

INTRODUCTION

The Compliance Register, has since 1995, conducted practical compliance training for compliance professionals and senior executives.

OBJECTIVES

The objectives of this half-day Seminar is to update senior managers and compliance professionals on the extension of the SMCR regime to all firms by the FCA.

Final rules are expected to be published during July or August 2018.

The Seminar will update you on the key developments and how to prepare for them including the use of regulatory technology.

Documentation and refreshments will be provided.

<u>Course Fee</u>	<u>Fee</u>	<u>VAT</u>	<u>Total</u>
Per delegate:	50.00	10.00	£60.00

VAT has been applied at the rate of 20%.

Reservations, Cancellations & Refunds

Space is limited. To avoid disappointment, please complete and return the Registration Form by email as soon as possible followed by your cheque in the post. If requested, we can also invoice you for payment. Places will be allocated on a “first come first served” basis based on receipt of your registration. Once you have submitted your registration, we will regard it as a firm booking to be followed by payment in full. No refunds will be made for cancellations but we will supply you with the presentations if you are unable to send a substitute delegate. A VAT receipt will be sent on request. Joining instructions will be sent by email, so please make sure we have your email address. The Compliance Register reserves the right to make changes to the programme, speakers or date of the course should the need arise.

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PROGRAMME

08.40: Registration

09.00: Welcome and Introduction

Speaker: Ben Goh, Chairman, The Compliance Register

09.05: Components of the new regime and application to different types of firm

Speakers: Andrew Barber, Partner and Emma Radmore, Legal Director, Womble Bond Dickinson.

09.50: Impact of employment law and the role of HR

Speaker: Michelle Chance, Partner, Womble Bond Dickinson

10.20: Coffee/Tea

10.35: Planning for the changes and managing the implementation

Speaker: Alan Brener, Senior Consultant, Financial Services Compliance

11.20: SM&CR – Why RegTech is the only workable solution

Speaker: Carl Redfern, Compliance Director, Redland Business Solutions

11.45: Q & A Session

12.00: Conclusion

Refreshments and sandwiches

Note: This event should qualify for 3 hours CPD for the various professional bodies, we suggest you keep a copy of the conference programme for CPD purposes.

DOWNLOAD REGISTRATION FORM IN WORD

You can download the event registration form in Word format, complete it and then emailed it to Events_Info@ComplianceR.com

For the Event Code, use SMCR.

To download the Event Registration Form, go to <https://complianceR.com/events/>:-

Click on [Events registration form](#)

Alternatively, please use the form on the next page.

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REGISTRATION FORM

(Please photocopy this form as required.)

Mr, Mrs, Miss or Title	First Names	
Surname	Position	
Company		
Address		
Town		
Postcode	Telephone	Fax
Email address		
Please describe any special dietary requirements.		

IMPORTANT NOTES

1. Please make all cheques payable to The Compliance Register Limited and crossed "A/C Payee Only". We can also invoice you for payment either by cheque or by BACS transfer – please request this in your covering email.

2. Registration Forms should be sent by email to Events_Info@ComplianceR.com or fax to 01908 220213 initially followed by the original and cheque in the post to:-

Ben Goh, Chairman, The Compliance Register,
Enterprise House, P O Box 843, Greenleys, Milton Keynes, MK12 6YZ,
United Kingdom.

3. If you have any queries, please contact Ben Goh by telephone, direct line: (01908) 322450 or 07954 104534.

4. Updated versions of this brochure will be available at <https://ComplianceR.com/events>
Information in this brochure is as known on 18 June 2018.

5. IMPORTANT NOTICE: REFUNDS POLICY

Please note there will be no refunds for cancellations or non-attendance. You are welcome to send a substitute delegate. We will supply you with the course documentation should you be unable to send a substitute delegate.

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