

## THE COMPLIANCE REGISTER: LIST OF USEFUL WEBSITES

**NOTES:** (1) Website addresses do change from time to time. If you notice any inaccuracies, please let us know and we will update the list. This list was last updated on 17 October 2016. (2) For some websites, you need to choose “English” version if you are unable to read the local language.

### UNITED KINGDOM (in alphabetical order)

Association of British Insurers	<a href="http://www.abi.org.uk">www.abi.org.uk</a>
Association of Financial Markets Europe	<a href="http://www.afme.eu">www.afme.eu</a>
Association of Professional Financial Advisers	<a href="http://www.apfa.net">www.apfa.net</a>
Association of Solicitor Investment Managers	<a href="http://www.asim.org.uk">www.asim.org.uk</a>
Bank of England	<a href="http://www.bankofengland.co.uk">www.bankofengland.co.uk</a>
British Bankers' Association	<a href="http://www.bba.org.uk">www.bba.org.uk</a>
Chartered Institute for Securities and Investment	<a href="http://www.cisi.org">www.cisi.org</a>
City of London Police – Economic Crime Academy	<a href="http://www.cityoflondon.police.uk/academy">www.cityoflondon.police.uk/academy</a>
Companies Registry	<a href="http://www.companieshouse.gov.uk">www.companieshouse.gov.uk</a>
Compliance Register Consulting	<a href="http://www.ComplianceR.com/crc">www.ComplianceR.com/crc</a>
Conservative Party	<a href="http://www.conservatives.com">www.conservatives.com</a>
Criminal Records Bureau	<a href="http://www.crb.gov.uk">www.crb.gov.uk</a>
Data Protection	<a href="http://www.ico.org.uk">www.ico.org.uk</a>
Financial Conduct Authority	<a href="http://www.fca.org.uk">www.fca.org.uk</a>
Financial Services Compliance Limited	<a href="http://www.ComplianceR.com/fsc">www.ComplianceR.com/fsc</a>
Fraud Advisory Panel	<a href="http://www.fraudadvisorypanel.org">www.fraudadvisorypanel.org</a>
Futures & Options Association	<a href="http://www.foa.co.uk">www.foa.co.uk</a>
Hedge Funds and Alternative Investments	<a href="http://www.aima.org">www.aima.org</a>
H M Revenue & Customs	<a href="http://www.hmrc.gov.uk">www.hmrc.gov.uk</a>
H M Treasury	<a href="http://www.hm-treasury.gov.uk">www.hm-treasury.gov.uk</a>
Industry Events Online	<a href="http://www.IndustryEventsOnline.com">www.IndustryEventsOnline.com</a>
Institute of Chartered Secretaries & Administrators	<a href="http://www.icsa.org.uk">www.icsa.org.uk</a>
Institute of Directors	<a href="http://www.iod.co.uk">www.iod.co.uk</a>
Investment Management Association	<a href="http://www.investmentuk.org">www.investmentuk.org</a>
Joint Money Laundering Steering Group	<a href="http://www.jmlsg.org.uk">www.jmlsg.org.uk</a>
Labour Party	<a href="http://www.labour.org.uk">www.labour.org.uk</a>
Liberal Democratic Party	<a href="http://www.libdems.org.uk">www.libdems.org.uk</a>
Prudential Regulatory Authority	<a href="http://www.bankofengland.co.uk/PRA">www.bankofengland.co.uk/PRA</a>
Society of Expert Witnesses	<a href="http://www.sew.org.uk">www.sew.org.uk</a>
The Compliance Register	<a href="http://www.ComplianceR.com">www.ComplianceR.com</a>
The Wealth Management Association	<a href="http://www.thewma.co.uk">www.thewma.co.uk</a>

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### UNITED KINGDOM – Dependent Territories (in alphabetical order)

Bermuda Monetary Authority	<a href="http://www.bma.bm">www.bma.bm</a>
BVI Financial Services Commission	<a href="http://www.bvifsc.vg">www.bvifsc.vg</a>
Cayman Islands Monetary Authority	<a href="http://www.cimoney.com.ky">www.cimoney.com.ky</a>
Gibraltar Financial Services Commission	<a href="http://www.fsc.gi">www.fsc.gi</a>
Guernsey Financial Services Commission	<a href="http://www.gfsc.gg">www.gfsc.gg</a>
Isle of Man Financial Services Commission	<a href="http://www.gov.im/fsc">www.gov.im/fsc</a>
Isle of Man Insurance & Pensions Authority	<a href="http://www.gov.im/ipa">www.gov.im/ipa</a>
Jersey Financial Services Commission	<a href="http://www.jerseyfsc.org">www.jerseyfsc.org</a>

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### EU and EEA (in alphabetical order)

Bank for International Settlements/Basel Committee	<a href="http://www.bis.org">www.bis.org</a>
Committee of European Banking Supervisors	<a href="http://www.c-ebis.org">www.c-ebis.org</a>
Committee of European Insurance and Occupational Pensions Supervisors	<a href="http://www.ceiops.org">www.ceiops.org</a>
Committee of European Securities Regulators	<a href="http://www.cesr-eu.org">www.cesr-eu.org</a>
European Fund and Asset Management Association	<a href="http://www.efama.org">www.efama.org</a>
European Central Bank	<a href="http://www.ecb.int">www.ecb.int</a>
European Commission (Publications)	<a href="http://ec.europa.eu/atoz_en.htm">http://ec.europa.eu/atoz_en.htm</a>
Financial Stability Board	<a href="http://www.financialstabilityboard.org">www.financialstabilityboard.org</a>
Swiss Financial Market Supervisory Authority	<a href="http://www.finma.ch">www.finma.ch</a>

### USA (in alphabetical order)

Federal Reserve	<a href="http://www.federalreserve.gov">www.federalreserve.gov</a>
Financial Industry Regulatory Authority	<a href="http://www.finra.org">www.finra.org</a>
U.S. Commodity Futures Trading Commission	<a href="http://www.cftc.gov">www.cftc.gov</a>
U.S. Securities and Exchange Commission	<a href="http://www.sec.gov">www.sec.gov</a>
U.S. Treasury	<a href="http://www.treasury.gov">www.treasury.gov</a>

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### OTHER (in alphabetical order)

Australian Securities and Investments Commission	<a href="http://www.asic.gov.au">www.asic.gov.au</a>
Australian Prudential Regulation Authority	<a href="http://www.apra.gov.au">www.apra.gov.au</a>
Bank of Canada	<a href="http://www.bankofcanada.ca">www.bankofcanada.ca</a>
Canadian Securities Administrators	<a href="http://www.securities-administrators.ca">www.securities-administrators.ca</a>
Capital Market Authority (Saudi Arabia)	<a href="http://www.cma.org.sa">www.cma.org.sa</a>
China Banking Regulatory Commission	<a href="http://www.cbrc.gov.cn">www.cbrc.gov.cn</a>
China Securities Regulatory Commission	<a href="http://www.csrc.gov.cn">www.csrc.gov.cn</a>
Dubai Financial Services Authority	<a href="http://www.dfsa.ae">www.dfsa.ae</a>
Federal Financial Markets Service (Russia)	<a href="http://www.ffms.ru">www.ffms.ru</a>
Financial Action Task Force on Money Laundering	<a href="http://www.fatf-gafi.org">www.fatf-gafi.org</a>
Financial Services Agency (Japan)	<a href="http://www.fsa.go.jp">www.fsa.go.jp</a>
Financial Services Board (South Africa)	<a href="http://www.fsb.co.za">www.fsb.co.za</a>
Financial Services Commission (South Korea)	<a href="http://www.fsc.go.kr">www.fsc.go.kr</a>
Financial Supervisory Commission (Taiwan)	<a href="http://www.fscey.gov.tw">www.fscey.gov.tw</a>
Hong Kong Monetary Authority	<a href="http://www.info.gov.uk/hkma">www.info.gov.uk/hkma</a>
International Monetary Fund	<a href="http://www.imf.org">www.imf.org</a>
International Organisation of Securities Commissions	<a href="http://www.iosco.org">www.iosco.org</a>
International Swaps and Derivatives Association	<a href="http://www.isda.org">www.isda.org</a>
Monetary Authority of Singapore	<a href="http://www.mas.gov.sg">www.mas.gov.sg</a>
Monetary Authority of Macao	<a href="http://www.amcm.gov.mo">www.amcm.gov.mo</a>
New Zealand Securities Commission	<a href="http://www.sec-com.govt.nz">www.sec-com.govt.nz</a>
Organisation for Economic Co-operation and Development	<a href="http://www.oecd.org">www.oecd.org</a>
Qatar Financial Centre Authority	<a href="http://www.qfcra.com">www.qfcra.com</a>
Securities and Exchange Board of India	<a href="http://www.sebi.gov.in">www.sebi.gov.in</a>
Securities and Exchange Commission of Brazil	<a href="http://www.cvm.gov.br">www.cvm.gov.br</a>
Securities and Futures Commission Hong Kong	<a href="http://www.sfc.hk">www.sfc.hk</a>
South African Reserve Bank	<a href="http://www.reservebank.co.za">www.reservebank.co.za</a>
World Bank	<a href="http://www.worldbank.org">www.worldbank.org</a>
World's Central Banks (alphabetical listing)	<a href="http://www.bis.org/cbanks.htm">www.bis.org/cbanks.htm</a>