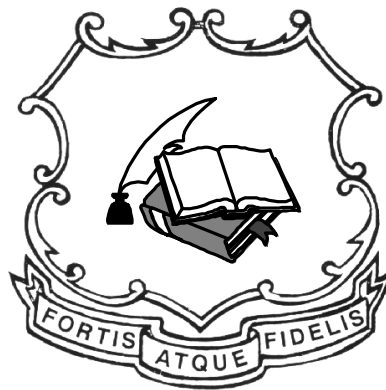


THE 2018 FINANCIAL CRIME COMPLIANCE SUMMIT

21 MAY

At KPMG

15 Canada Square, Canary Wharf, London, E14 5GL
(Nearest Station: Canary Wharf)



**OUR 11th ANNUAL SERIES FOR MLROs and
FINANCIAL CRIME COMPLIANCE PROFESSIONALS**

ORGANISED BY THE COMPLIANCE REGISTER

Telephone: (01908) 322450, Fax: (01908) 220213
Or contact us via our website

Website: www.ComplianceR.com

THE 2018 FINANCIAL CRIME COMPLIANCE (FCC) SUMMIT

INTRODUCTION

The Compliance Register has, since 1995, conducted practical compliance training for compliance professionals and senior executives.

Financial crime risks continue to bear down on our industry with many new issues and challenges. This Summit seeks to address all the topical issues and help you chart your way through troubled waters. This is our 11th annual series of conferences targeted at financial crime compliance professionals and senior executives in the financial services industry. The objectives of this series are to:-

- Provide intensive training and provide delegates with the opportunity to upgrade their skills and knowledge; and
- Provide a networking forum for financial crime compliance professionals and senior executives.

In line with our policy to deliver “value for money”, this conference will yet again be packed with major topical issues delivered by industry practitioners and experts. The delegate fee includes refreshments, lunch and a networking drinks reception. The various presentations will be available for download from our website after the Summit.

<u>Delegate Fee</u>	<u>Fee</u>	<u>VAT</u>	<u>Total</u>
Standard Fee*:	196.00	39.20	£235.20

*This is the standard fee for which you are entitled to two seats. VAT has been applied at the rate of 20%.

Sponsorship Opportunities

This is a well established event and there are good sponsorship opportunities. Please contact our Chairman, Ben Goh on 07954 104534 in the first instance. We are grateful to KPMG and all sponsors for their support.

Reservations, Cancellations & Refunds, Mailing List

Space is limited. To avoid disappointment, please complete and return the Registration Form by email as soon as possible followed by your cheque in the post. If requested, we can also invoice you for payment. Places will be allocated on a “first come first served” basis based on receipt of your registration. Once you have submitted your registration, we will regard it as a firm booking to be followed by payment in full. No refunds will be made for cancellations but we will supply you with the presentations if you are unable to send a substitute delegate. A VAT receipt will be sent on request. Joining instructions will be sent by email, so please make sure we have your email address. The Compliance Register reserves the right to make changes to the programme, speakers or date of the course should the need arise. When you register for this conference, we will add you to our mailing list for future events. This list may be shared with sponsors and selected third parties. If you wish to opt out, please email us.

THE 2018 FINANCIAL CRIME COMPLIANCE SUMMIT
21 May at the London office of KPMG

08.40 *Registration & Coffee*

09.00 *Chairman's Introduction*

Speaker: Ben Goh, Chairman, The Compliance Register

Session 1

09.05 *Update on MLD4 and MLD5*

- MLD4 Implementation delayed in many member states
- MLD5 developments

Speaker: Faraaz Nakvi, Forensic Director - AML, KPMG

Session 2

09.30 *Update on UK laws and regulations*

- AML
- Sanctions
- Criminal Finances Act
- Politically Exposed Persons

Emma Radmore, Legal Director, Womble Bond Dickinson

Session 3

09.55 *Tackling Cyber Security*

- The scale of the problem
- Minimising your risks
- Lessons from the latest hacks

Speaker: David Ferbrache, Director, KPMG.

10.25 *Morning Coffee*

Session 4

10.55 *FCA Developments*

- Latest investigations
- Enforcement actions
- Other developments

Speaker: Daren Allen, Partner, Dentons

Session 5

11.25 *Update on Anti-Bribery & Corruption*

- DPA's to date
- Impact of UK Bribery Act and US FCPA

Speaker: Caroline Allen, Senior Manager, KPMG

Session 6

11.50 *Challenges facing financial crime compliance practitioners*

Speaker: Michael Riley, Head of Anti-Money Laundering UK, EFG Private Bank

Session 7 – Technology Innovation A

12.20 *Next Generation Due Diligence and KYC.*

Speaker: Matt Congreve, FS Senior Manager, and Robert Bray, Head of Sales & Development K-3PID, KPMG

12.40 *Networking Lunch*

Session 8

14.00 *The Corporate Criminal Offences*

- Understanding the offences
- How to implement “reasonable procedures”
- How firms are responding

Speakers: Will Staples, Manager, and Chris Davidson CBE, Director, KPMG.

Session 9

14.30 *Update on Current Fraud/Cyber crime trends, methodologies and preventative initiatives*

Speaker: Pete O’Doherty, Acting NPCC Commander, Coordinator for Economic Crime and Cyber Protect.

Session 10

15.00 *Financial Crime Risks of Cryptocurrency*

Speaker: Emma Hunter, Director – Legal Services, and Anthony Eskander, Barrister-Legal Services, KPMG

Session 11

15.25 *Risk Assessment Challenges*

- Cultural alignment
- Creating a robust assessment framework
- Implementation and benefits

Speaker: Dhanush Lodhia, Senior Manager, KPMG

15.45 *Afternoon Tea*

Session 12 - Technology Innovation B

16.00 *AI in Sanctions Alert Classification*

- What is driving AI technology adoption?
- How can AI technologies benefit financial crime prevention?
- KPMG AI case study

Speakers: James Bell, Senior Manager, KPMG

Session 13 – Technology Innovation C

16.20 – *Trader Surveillance across banking, asset management and insurance*

- Increase risk detection
- Reducing your costs

Speaker: Rob Weston, MD and Halvor Wood, Senior Manager, KPMG.

16.40 – *Conclusion and Drinks Reception*

Note: For CPD purposes, you should keep a copy of the above programme to claim for 7 hours.

THE 2018 FINANCIAL CRIME COMPLIANCE SUMMIT

21 May

REGISTRATION FORM

(Please photocopy this form as required.)

Mr, Mrs, Miss or Title	First Names	
Surname	Position	
Company		
Address		
Town		
Postcode	Telephone	Fax
Email address		

Please describe any special dietary requirements.

IMPORTANT NOTES

1. Please make all cheques payable to The Compliance Register Limited and crossed "A/C Payee Only". We can also invoice you for payment either by cheque or by BACS transfer – please request this in your covering email.

2. Registration Forms should be sent by email to Events_Info@ComplianceR.com or fax to 01908 220213 initially followed by the original and cheque in the post to:-

Ben Goh, Chairman, The Compliance Register,
Enterprise House, P O Box 843, Greenleys, Milton Keynes, MK12 6YZ,
United Kingdom.

3. If you have any queries, please contact Ben Goh by telephone, direct line: (01908) 322450 or 07954 104534.

4. Updated versions of this brochure will be available: <https://ComplianceR.com>
[Information in this brochure is as known on 15 May 2018.](#)

5. IMPORTANT NOTICE: REFUNDS POLICY

Please note there will be no refunds for cancellations or non-attendance. You are welcome to send a substitute delegate. We will supply you with the course documentation should you be unable to send a substitute delegate..

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