

DATA PRIVACY POLICY

EDITION: 25 MAY 2018

1. This policy applies to “the Group” comprising Financial Services Compliance Limited, The Compliance Register Limited and Compliance Register Consulting Limited. “We” and “us” in this document means “the Group.” Financial Services Compliance Limited is the data controller.

2. As compliance and regulatory specialists in the financial services industry, the Group only holds personal data that is necessary for the efficient conduct of business.

3. We do not hold sensitive personal data of individuals. Examples are:-

- Date of birth
- National insurance number
- NHS number
- Passport or driving licence details
- Medical history
- Ethnicity
- Gender/Sexual Orientation
- Religion
- Bank details
- Credit or debit card details

4. We only hold the following information:-

- Name of individual
- Contact details such as email address, telephone number and postal address
- Job Title
- Company Name
- Details of any dietary requirements/food allergies (for catering purposes)

5 (i). We collect data provided by you on first contact, e.g. (a) when you register to attend one of our events or (b) through social media or (c) establishing a business relationship with us. We do not share or sell the data to other companies.

5 (ii). Where you are attending an event, we will share your name, company name and dietary requirements with the relevant venue for security and catering purposes.

6. We may share attendees’ details (as listed in 4 above) with sponsors of our events depending on whether such sharing is part of the sponsorship agreement. If you object to this sharing, please let us know when registering for our events.

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7. We may share your details under compulsion of law with regulators and law enforcement agencies in the UK and overseas.

8. We will only use your details for lawful commercial purposes such as (a) providing services to you; (b) providing you with information of our events; (c) sending you updates on compliance and regulation; (d) contacting you for market research purposes; etc.

9. We will update your details when you notify us of any changes.

10. We will keep your details securely and keep such security arrangements under regular review.

11. Your data will be processed and held in the United Kingdom. It will not be sent outside the European Union except under compulsion of law.

12. Please use the “Contact Us” button on our website to notify us of any concerns or issues. We will be happy to assist you.

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