

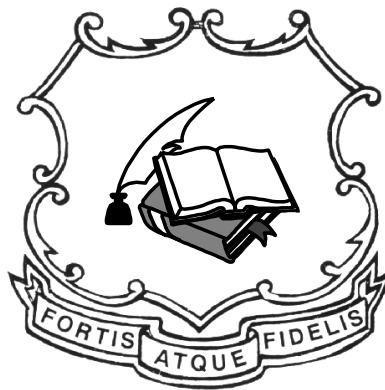
THE 2019 FINANCIAL CRIME COMPLIANCE SUMMIT

25 JUNE

At KPMG

15 Canada Square, Canary Wharf, London, E14 5GL
(Nearest Station: Canary Wharf)

A Highly Intensive Day Dedicated to Addressing Current Challenges



**OUR 12th ANNUAL SERIES FOR MLROs and
FINANCIAL CRIME COMPLIANCE PROFESSIONALS**

ORGANISED BY THE COMPLIANCE REGISTER

Telephone: (01908) 322450

Website: <https://ComplianceR.com>

THE 2019 FINANCIAL CRIME COMPLIANCE (FCC) SUMMIT

INTRODUCTION

The Compliance Register has, since 1995, conducted practical compliance training for compliance professionals and senior executives.

Financial crime risks continue to evolve with criminals becoming ever more ingenious. This Summit seeks to address all the topical issues and help you chart your way through troubled waters. This is our 12th annual series of conferences targeted at financial crime compliance professionals and senior executives in the financial services industry. The objectives of this series are to:-

- Provide intensive training and provide delegates with the opportunity to upgrade their skills and knowledge; and
- Provide a networking forum for financial crime compliance professionals and senior executives.

In line with our policy to deliver “value for money”, this Summit will yet again be packed with major topical issues delivered by industry practitioners and experts. The delegate fee includes refreshments, lunch and a networking drinks reception. The various presentations will be available for download from our website after the Summit.

<u>Delegate Fee</u>	<u>Fee</u>	<u>VAT</u>	<u>Total</u>
Standard Fee*:	198.00	39.60	£237.60

*This is the standard fee for which you are entitled to two seats. VAT has been applied at the rate of 20%.

Sponsorship Opportunities

This is a well established event and there are good sponsorship opportunities. Please contact our Chairman, Ben Goh on 07954 104534 in the first instance. We are grateful to KPMG and all sponsors for their support.

Reservations, Cancellations & Refunds, Mailing List

Space is limited. To avoid disappointment, please complete and return the Registration Form by email as soon as possible followed by your cheque in the post. If requested, we can also invoice you for payment. Places will be allocated on a “first come first served” basis based on receipt of your registration. Once you have submitted your registration, we will regard it as a firm booking to be followed by payment in full. No refunds will be made for cancellations but we will supply you with the presentations if you are unable to send a substitute delegate. A VAT receipt will be sent on request. Joining instructions will be sent by email, so please make sure we have your email address. The Compliance Register reserves the right to make changes to the programme, speakers or date of the course should the need arise. When you register for this conference, we will add you to our mailing list for future events. This list may be shared with sponsors and selected third parties. If you wish to opt out, please email us.

THE 2019 FINANCIAL CRIME COMPLIANCE SUMMIT

25 June at the London office of KPMG

08:20 *Registration & Coffee*

08.40 *Chairman's Introduction*

Speaker: Ben Goh, Chairman, The Compliance Register

Session 1

08.45 *Update on the Legal and Regulatory Environment*

- Criminal Finances Act 2017 – what's in force?
- Sanctions & Anti-Money Laundering Act 2018
- JMLSG, FCA and other regulatory guidance
- SARS reform, MLD5, MLD6 and Parliamentary inquiries

Speaker: Emma Radmore, Legal Director, Womble Bond Dickinson

Session 2

09:25 *GDPR, Data Breaches & Financial Crime*

- What GDPR means in preventing fraud
- Key lessons from recent breaches.

Speaker: Nigel Tuppen, Senior Director (Associate), 3 Lines of Defence Consulting

Session 3

09:50 *Update on SARs Reform and Other Developments*

- SARs reform
- Latest Court cases

Speaker: Daren Allen, Partner, Dentons

Session 4

10:10 *Cyber Developments – Issues and Challenges*

- The convergence of financial crime and cyber: How should we respond to cyber enabled financial crime?

Speaker: Alexandra Anisie, Director, KPMG

10:30 *Morning Coffee*

Session 5

10.45 *Update on Anti-Bribery & Corruption and Corporate Criminal Offences*

- Regulatory and legal trends
- Today's ABC challenges
- Future Trends

Speaker: Nazifa Hussain, Manager, KPMG

Session 6

11:15 *Vulnerable Customers*

- How are vulnerable customers exposed?
- Fraud Typologies
- How can they be protected?
- What does the future hold?

Speaker: Rob Cutler, Partner, KPMG

Session 7

11.35 *Insight on correlation between UK structures and Laundromats*

- Deficiencies in Companies House data
- Laundromat red flags
- How do firms mitigate their exposure?

Speaker: Graham Barrow, independent consultant

Session 8

12.05: *Special Briefing on a Major Corruption Case*

Speaker: To be revealed on the day.

12:30 *Networking Lunch*

Session 9

13:40 *Panel Discussion: The Future of Financial Crime Technology*

Panel Members: Neil Berry, Partner, KPMG; Steve Cook, Business Development Director, FaceTec; Paul Clandillon, European Leader for Financial Crime, IBM

Session 10

14:10 *Cryptocurrency Developments – Issues & Challenges*

- Associated financial crime risks
- The regulatory landscape
- Emerging trends in how firms manage the risks.

Speaker: Neal Dawson, Director, KPMG

Session 11

14:30 *Sanctions: Update on Latest Developments*

Speaker: Maya Lester QC

Session 12

15:00 *Fraud*

- Digital – future of banking (authentication)
- What is not Digital?
- Internal threats e.g., Tech/cyber; IA; Grey pound - protected ways
- Investigations

Speaker: Sally Felton, Senior Manager, KPMG

15:30 *Afternoon Tea*

Session 13

15:45 *Panel Discussion: Transaction Monitoring – Latest Challenges and Evolving Practices*

Speakers: Simon Dwyer, Rob Dean from KPMG, Simon Wilkin, Deutsche Bank and Anish Kalraiya, Head of Market Abuse Surveillance, Credit Agricole.

Session 14

16:15: *Practitioner Viewpoint*

- Coping with current challenges
- What to expect in the next 12 months

Simon Wilkin, Director, Anti-Financial Crime, Deutsche Bank

16:45 *Conclusion and Drinks Reception*

Note: For CPD purposes, you should keep a copy of the above programme to claim for 8 hours

DOWNLOAD REGISTRATION FORM IN WORD

You can download the event registration form in Word format, complete it and then emailed it to Events_Info@ComplianceR.com

For the Event Code, use FCC Summit.

To download the Event Registration Form, go to <https://complianceR.com/events/>:-

Click on [Events registration form](#)

Alternatively, please use the form on the next page.

THE 2019 FINANCIAL CRIME COMPLIANCE SUMMIT

25 June

REGISTRATION FORM

(Please copy this form as required.)

Mr, Mrs, Miss or Title	First Names
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Surname	Position
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Company

Address

Town

Postcode	Telephone	Fax
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Email address

Please describe any special dietary requirements.

IMPORTANT NOTES

1. Please make all cheques payable to The Compliance Register Limited and crossed "A/C Payee Only". We can also invoice you for payment either by cheque or by BACS transfer – please request this in your covering email.

2. Registration Forms should be sent by email to Events_Info@ComplianceR.com initially followed by the original and cheque in the post to:-

Ben Goh, Chairman, The Compliance Register,
Enterprise House, P O Box 843, Greenleys, Milton Keynes, MK12 6YZ,
United Kingdom.

3. If you have any queries, please contact Ben Goh by telephone, direct line: (01908) 322450 or 07954 104534.

4. Updated versions of this brochure will be available: <https://ComplianceR.com>
[Information in this brochure is as known on 20 June 2019.](#)

5. IMPORTANT NOTICE: REFUNDS POLICY

Please note there will be no refunds for cancellations or non-attendance. You are welcome to send a substitute delegate. We will supply you with the course documentation should you be unable to send a substitute delegate.

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