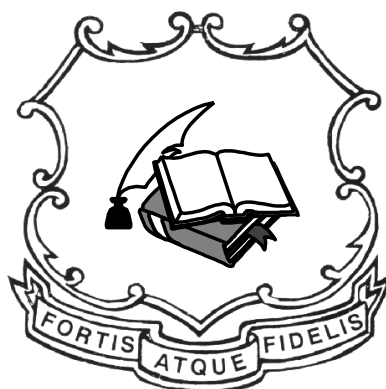


THE 2021 FINANCIAL CRIME COMPLIANCE (FCC) PROFESSIONALS CERTIFICATION COURSE

ONLINE TRAINING

WEEKS COMMENCING 18 & 25 JANUARY

Daily One-Hour Sessions for Eight Days



**DEVELOPING PRACTICAL SKILLS AND
KNOWLEDGE OF PRACTITIONERS**

ORGANISED BY THE COMPLIANCE REGISTER

Telephone: +44 1908 322450, Mobile: +44 7481 092673

Email: Events_Info@ComplianceR.com

Website: www.ComplianceR.com

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INTRODUCTION

The Compliance Register, has since 1995, conducted practical compliance training for compliance professionals and senior executives.

OBJECTIVES

The objectives of this online intensive course are to train and assist FCC professionals to adequately perform their professional duties. Many, if not all enforcement cases have their roots in poor compliance arrangements and lack of proper policies and procedures to deal with operational compliance. At a time of increased regulatory scrutiny, budgetary constraints and Covid-19 disruptions, being able to manage the FCC function poses increasing challenges as new threats emerge in a fast changing environment. Brexit will inevitably also bring changes to UK laws and regulations.

To obtain the FCCP Certification, delegates will be required to submit course work within agreed time limits and meet the required standards. [Please note obtaining certification is not mandatory.](#)

We are pleased to run this event with the support of key industry players. [This event will be run online using Microsoft Teams.](#)

Documentation will be provided.

<u>Course Fee</u>	<u>Fee</u>	<u>VAT</u>	<u>Total</u>
Per delegate:	230.00	46.00	£276.00

VAT has been applied at the rate of 20%.

Reservations, Cancellations & Refunds

To reserve your place, please complete and return the Registration Form by email as soon as possible followed by your cheque in the post. If requested, we can also invoice you for payment. Places will be allocated on a “first come first served” basis based on receipt of your registration. Once you have submitted your registration, we will regard it as a firm booking to be followed by payment in full. No refunds will be made for cancellations but we will supply you with the presentations if you are unable to send a substitute delegate. A VAT receipt will be sent on request. Joining instructions will be sent by email, so please make sure we have your email address. The Compliance Register reserves the right to make changes to the programme, speakers or date of the course should the need arise.

<p style="text-align: center;">THE 2021 FINANCIAL CRIME COMPLIANCE (FCC) PROFESSIONALS CERTIFICATION COURSE</p>
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PROGRAMME

Day 1 & 2: The Law (18 & 19 January from 09.30 to 10.30 UK time)

- What is financial crime?
- Money Laundering – laws and regulations
- Financial Sanctions
- Bribery & Corruption
- Prevention of Facilitation of Tax Evasion
- Market Abuse and Insider Dealing

Speaker: Emma Radmore, Legal Director, Womble Bond Dickinson

Day 3 & 4: Regulatory Expectations (20 & 21 January from 09.30 to 10.30 UK time)

- Government and Regulatory Guidance
- Current regulatory and supervisory themes
- Lessons from cases (convictions, deferred prosecution agreements) and FCA enforcement

Speaker: Emma Radmore, Legal Director, Womble Bond Dickinson

Day 5: Fraud Risks (25 January from 09.30 to 10.30 UK time)

- Update on Current Threats
- Strategy to deal with threats
- When to scream for help
- Lessons from recent cases

Speaker: Rachel Sexton, Partner, EY

Day 6: AML and Sanctions Compliance Monitoring (26 January from 09.30 to 10.30 UK time)

- Developing the Right Strategy for Monitoring
- Latest developments, tools and trends
- Taking effective follow up actions
- Lessons from recent cases

Speaker: Simon Wilkin, Director, Anti-Financial Crime, Deutsche Bank

Day 7: Are Your Policies & Procedures Fit For Purpose? (27 January from 09.30 to 10.30 UK time)

- Joined-up approach v Silo approach
- Seeing the whole picture
- Have you missed the elephant in the room?
- Open discussion

Speaker: David Skade, Director, DS Financial Crime Risk Management

Day 8: Conclusion (28 January from 09.30 to 10.30 UK time)

- Update on some of the biggest corruption cases
- Open Discussion: Would your due diligence procedures have detected these cases?
- The Case Study – key points to note including timelines for submission of answers
- Close

Speaker: Ben Goh, MD, Financial Services Compliance Limited

CPD Hours

8 hours for attendance and 40 hours for submitting answers to the case study. We suggest you keep a copy of this programme with your CPD records.

DOWNLOAD REGISTRATION FORM IN WORD

You can download the event registration form in Word format, complete it and then emailed it to Events_Info@ComplianceR.com

For the Event Code, use FCCP.

To download the Event Registration Form, go to <https://compliance.com/events/>:-

Click on “events registration form”.

Alternatively, please use the form on the next page.

FCC Professionals Certification Course 2021

REGISTRATION FORM

(Please photocopy this form as required.)

Mr, Mrs, Miss or Title	First Names	
Surname	Position	
Company		
Address		
Town		
Postcode	Telephone	Fax
Email address		
Please describe any special dietary requirements.		

IMPORTANT NOTES

1. Please make all cheques payable to The Compliance Register Limited and crossed "A/C Payee Only". [We can also invoice you for payment either by cheque or by BACS transfer – please request this in your covering email.](#)
2. Registration Forms should be sent by email to Events_Info@ComplianceR.com initially followed by the original and cheque in the post to:-

Ben Goh, Chairman, The Compliance Register,
Enterprise House, 1 Oxman Lane, Greenleys, Milton Keynes, MK12 6LG,
United Kingdom.
3. If you have any queries, please contact Ben Goh by telephone, direct line: +44 1908 322450 or +44 7481 092673.
4. Updated versions of this brochure will be available at <https://ComplianceR.com>
[Information in this brochure is as known on 21 October 2020.](#)

5. IMPORTANT NOTICE: REFUNDS POLICY

Please note there will be no refunds for cancellations or non-attendance. You are welcome to send a substitute delegate. We will supply you with the course documentation should you be unable to send a substitute delegate.

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