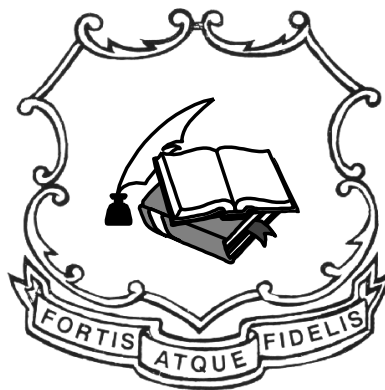


SEMINAR 2022 – LIVING WITH THE SMCR

This course will be available online via our subscription
service in September

led by **WOMBLE BOND DICKINSON**



**HELPING FIRMS TO PROPERLY COMPLY WITH
THE SMCR REQUIREMENTS**

ORGANISED BY THE COMPLIANCE REGISTER

Telephone: +44 1908 322450, Mobile: +44 7481 092673
General Email Address: bengoh.bizmail@yahoo.co.uk

Website: www.ComplianceR.com

THE 2022 SMCR SEMINAR

PROGRAMME

The financial regulatory and employment teams at Womble Bond Dickinson will cover:-

- The three strands of the SMCR
- What SMFs apply? Categorising firms and assessing interplay of regulatory permissions with required functions
- Getting Statements of Responsibility right
- The “Fit & Proper” process – interplay with employment policies and procedures (including employment contracts and disciplinary actions)
- Record keeping and training
- Applications to FCA
- Regulatory references
- Whistleblowing

The Seminar will conclude with “An Overview of some of the practical difficulties/challenges” by Ben Goh, MD, Financial Services Compliance Limited.

Note: This event should qualify for 2.5 hours CPD for the various professional bodies, we suggest you keep a copy of the conference programme for CPD purposes.

THE 2022 SMCR SEMINAR

DOWNLOAD REGISTRATION FORM IN WORD

You can download the event registration form in Word format, complete it and then emailed it to the address given in the registration form.

For the Event Code, use SMCR.

To download the Event Registration Form, go to <https://compliance.com/events/>:-

Click on [Events registration form](#)

Alternatively, please use the form on the next page.

THE 2022 SMCR SEMINAR

REGISTRATION FORM

(Please photocopy this form as required.)

Mr, Mrs, Miss or Title	First Names
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Surname	Position
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Company

Address

Town

Postcode	Telephone	Fax
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Email address

Please describe any special dietary requirements.

IMPORTANT NOTES

1. Please register via our subscription service, payment will be taken by credit card when registering online or you can request an invoice to be paid by BACS transfer.
2. Registration Forms should be sent by email to bengoh.bizmail@yahoo.co.uk
3. If you have any queries, please contact Ben Goh by telephone, direct line: + 44 1908 322450 or +44 7481 092673.
4. Updated versions of this brochure will be available at <https://ComplianceR.com/events>
Information in this brochure is as known on 12 May 2022.

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