

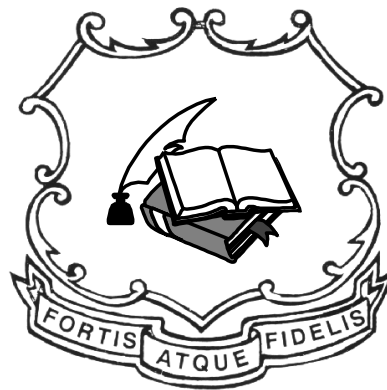
THE 2023 FINANCIAL CRIME COMPLIANCE SUMMIT

19 JANUARY

At KPMG

15 Canada Square, Canary Wharf, London, E14 5GL
(Nearest Station: Canary Wharf)

A Highly Intensive Day Dedicated to Addressing Current Challenges



**OUR 15th ANNUAL SERIES FOR MLROs and
FINANCIAL CRIME COMPLIANCE PROFESSIONALS**

ORGANISED BY THE COMPLIANCE REGISTER

Telephone: (01908) 322450

Website: <https://ComplianceR.com>

THE 2023 FINANCIAL CRIME COMPLIANCE (FCC) SUMMIT

INTRODUCTION

The Compliance Register has, since 1995, conducted practical compliance training for compliance professionals and senior executives.

Financial crime risks continue to evolve with criminals becoming ever more ingenious. This Summit seeks to address all the topical issues and help you chart your way through troubled waters. This is our 15th annual series of conferences targeted at financial crime compliance professionals and senior executives in the financial services industry. The objectives of this series are to:-

- Provide intensive training and provide delegates with the opportunity to upgrade their skills and knowledge; and
- Provide a networking forum for financial crime compliance professionals and senior executives.

The theme for this Summit is **Strategies For More Effective Financial Crime Risk Management**. This Summit represents Value for Money.

The delegate fee includes refreshments, lunch and a networking drinks reception. The various presentations will be available for download from our website after the Summit.

<u>Delegate Fee</u>	<u>Fee</u>	<u>VAT</u>	<u>Total</u>
Fee per delegate:	150.00	30.00	£180.00

VAT has been applied at the rate of 20%.

Sponsorship Opportunities

This is a well established event and there are limited sponsorship opportunities. Please contact our Chairman, Ben Goh on 07481 092673 in the first instance. We are grateful to KPMG and all sponsors for their support.

Reservations, Cancellations & Refunds, Mailing List

Space is limited. To avoid disappointment, please complete and return the Registration Form by email as soon as possible. We will invoice you for payment. Places will be allocated on a “first come first served” basis based on receipt of your registration. Once you have submitted your registration, we will regard it as a firm booking to be followed by payment in full. No refunds will be made for cancellations but we will supply you with the presentations if you are unable to send a substitute delegate. A VAT receipt will be sent on request. Joining instructions will be sent by email, so please make sure we have your email address. The Compliance Register reserves the right to make changes to the programme, speakers or date of the course should the need arise. When you register for this conference, we will add you to our mailing list for future events. This list may be shared with sponsors and selected third parties. If you wish to opt out, please email us.

THE 2023 FINANCIAL CRIME COMPLIANCE SUMMIT

Theme: Strategies For More Effective Financial Crime Risk Management

19 January at the London office of KPMG

<p>08:40 <i>Registration & Coffee</i></p> <p>08.55 <i>Chairman's Introduction</i> Speaker: (David Hicks/Ben Goh)</p> <p>09.05: Session 1</p> <p>Speaker: Geraldine Lawlor, Partner, Global Head of Financial Crime, KPMG Topic: FC Transformation – effectiveness meets efficiency</p> <ul style="list-style-type: none">• Process optimisation• Data and technology enabled• Optimising the framework between the first and second line• Risk and control – what is it?• Measurement and assurance <p>09.45: Session 2</p> <p>Speaker: Sian Jones, Head of Financial Crime, BlackRock Topic: BlackRock's journey to effective financial crime risk management – a case study</p> <p>10.25: Morning Coffee</p> <p>10.40: Session 3</p> <p>Speaker: Brian Dilley, Group Director of Fraud & Financial Crime, Lloyds Banking Group Topic: Integrating Fraud into Financial Crime Risk Management</p> <ul style="list-style-type: none">• How mature is LBG in its integration of Fraud and Financial Crime risk management?• What does success look like?• What are the benefits of an integrated approach?• What are the lessons learned? <p>11.20: Session 4</p> <p>Speaker: Ian Taylor, Head of Crypto and Digital assets, and Vivian Alfred, Financial Crime SME, KPMG Topic: 5 important things that every Financial Crime professional should know about Crypto</p> <ul style="list-style-type: none">• How to complete an enterprise-wide Crypto Financial Crime risk assessment?• What are the common pitfalls and myths?• Regulators views• Integrating crypto into financial crime risk management	<p>12.00: Networking Lunch</p> <p>13.20: Session 5</p> <p>Speaker: Annabelle Reoch, Partner, Forensics, KPMG Topic: ESG and Financial Crime Risk Management</p> <ul style="list-style-type: none">• ESG Risks within Financial Crime• Typologies and red flags• Governance and MI• What happens next? <p>14.00: Session 6</p> <p>Speaker: Ruth Dearnley, Chief Executive, STOP THE TRAFFIK Topic: Putting a face on the victims of Financial Crime</p> <ul style="list-style-type: none">• How do you identify modern slavery & human trafficking through data? <p>14.40: Afternoon Tea</p> <p>15.20: Session 7</p> <p>Speaker: Emma Radmore, Legal Director, Womble Bond Dickinson Topic: Regulatory Update</p> <ul style="list-style-type: none">• Economic Crime and Transparency Act: Key financial crime related changes and dates• Money Laundering Regulations – feedback on consultation and upcoming changes• Corporate Criminal Liability: What happens next? <p>16.00: Session 8</p> <p>Speaker: Nick Van Benschoten, Director, International Illicit Finance, UK Finance Topic: A UK Finance update on Financial Crime 'hot topics'</p> <ul style="list-style-type: none">• What are the trending issues and upcoming regulatory change?• What are businesses doing to ensure compliance at a legal entity level• An update on the Funds Transfer Regulation – 'How To' Interpretative Guidance <p>16.40: Session 8 Q&A and Conclusion</p> <p>16.55: Drinks Reception & Networking</p>
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Note: For CPD purposes, please retain a copy of the above programme (8 hours CPD) for your professional body.

REGISTER ONLINE

You can register online at:

<https://ComplianceR.com/events/>

[Click on The Financial Crime Compliance Summit 2023 – Online Registration Form](#)

Alternatively, please use the form on the next page OR scan QR Code below.



THE 2023 FINANCIAL CRIME COMPLIANCE SUMMIT

19 January

REGISTRATION FORM

(Please copy this form as required.)

Mr, Mrs, Miss or Title	First Names
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Surname	Position
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Company

Address

Town

Postcode	Telephone	Fax
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Email address

Please describe any special dietary requirements.

IMPORTANT NOTES

1. Registration Forms should be sent by email to our generic email address:- bengoh.bizmail@yahoo.co.uk
2. If you have any queries, please contact Ben Goh by telephone, direct line: (01908) 322450 or 07481 092673.
3. Updated versions of this brochure will be available: <https://ComplianceR.com>
[Information in this brochure is as known on 1 November 2022.](#)

4. IMPORTANT NOTICE: REFUNDS POLICY

Please note there will be no refunds for cancellations or non-attendance. You are welcome to send a substitute delegate. We will supply you with the course documentation should you be unable to send a substitute delegate..

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