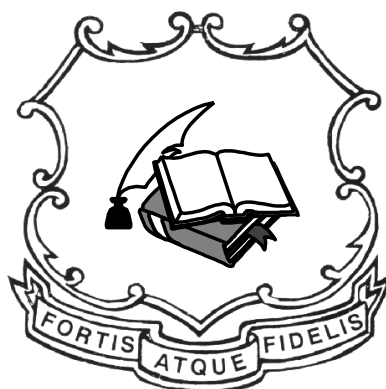


THE 2023 FINANCIAL CRIME COMPLIANCE (FCC) PROFESSIONALS CERTIFICATION COURSE

ONLINE TRAINING

FOUR DAYS: 5 TO 8 JUNE

Daily 08.45 to 10.45



**DEVELOPING PRACTICAL SKILLS AND
KNOWLEDGE OF PRACTITIONERS**

ORGANISED BY THE COMPLIANCE REGISTER

Telephone: +44 1908 322450, Mobile: +44 7481 092673

General Email: bengoh.bizmail@yahoo.co.uk

Website: www.ComplianceR.com

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INTRODUCTION

The Compliance Register, has since 1995, conducted practical compliance training for compliance professionals and senior executives.

OBJECTIVES

The objectives of this online intensive course are to train and assist FCC professionals to adequately perform their professional duties. Many, if not all enforcement cases have their roots in poor compliance arrangements and lack of proper policies and procedures to deal with operational compliance. At a time of increased regulatory scrutiny, budgetary constraints and supply chain disruptions, being able to manage the FCC function poses increasing challenges as new threats emerge in a fast changing environment. Brexit has and will continue to bring changes to UK laws and regulations.

To obtain the FCCP Certification, delegates will be required to submit course work within agreed time limits and meet the required standards. [Please note obtaining certification is not mandatory and there is no additional charge.](#)

We are pleased to run this event with the support of key industry professionals. [This event will be run online using Microsoft Teams hosted by Womble Bond Dickinson.](#)

Documentation will be provided.

<u>Course Fee</u>	<u>Fee</u>	<u>VAT</u>	<u>Total</u>
Per delegate:	250.00	50.00	£300.00

VAT has been applied at the rate of 20%.

Reservations, Cancellations & Refunds

To reserve your place, please complete and return the Registration Form by email as soon as possible. On receipt, we will invoice you for payment. Places will be allocated on a “first come first served” basis based on receipt of your registration. [Once you have submitted your registration, we will regard it as a firm booking to be followed by payment in full.](#) No refunds will be made for cancellations but we will supply you with the presentations if you are unable to send a substitute delegate. A VAT receipt will be sent on request. Joining instructions will be sent by email, so please make sure we have your email address. The Compliance Register reserves the right to make changes to the programme, speakers or date of the course should the need arise.

<p style="text-align: center;">THE 2023 FINANCIAL CRIME COMPLIANCE (FCC) PROFESSIONALS CERTIFICATION COURSE</p>
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PROGRAMME

Sessions 1 & 2: The Law (5 June from 08.45 to 10.45 UK time)

- What is financial crime?
- Money Laundering – laws and regulations
- Financial Sanctions
- Bribery & Corruption
- Prevention of Facilitation of Tax Evasion
- Market Abuse and Insider Dealing

Speaker: Emma Radmore, Legal Director, Womble Bond Dickinson

Sessions 3 & 4: Regulatory Expectations (6 June from 08.45 to 10.45 UK time)

- Government and Regulatory Guidance
- Current regulatory and supervisory themes
- Lessons from cases (convictions, deferred prosecution agreements) and FCA enforcement

Speaker: Emma Radmore, Legal Director, Womble Bond Dickinson

Session 5: Fraud Risks (7 June from 08.45 to 09.45 UK time)

- Update on Current Threats
- Strategy to deal with threats
- When to scream for help
- Lessons from recent cases

Speaker: Rachel Sexton, Partner, Ashurst Risk Advisory

Session 6: AML and Sanctions Compliance Monitoring (7 June from 09.45 to 10.45 UK time)

- Developing the Right Strategy for Monitoring
- Latest developments, tools and trends
- Taking effective follow up actions
- Lessons from recent cases

Speaker: Simon Wilkin, Director, Anti-Financial Crime, Deutsche Bank

Session 7: Managing Crypto Risk in non-digitally businesses (8 June from 08.45 to 09.45 UK time)

- Can crypto be integrated into multi-channel payment solutions?
- What is the impact on existing control frameworks?
- Aligning policies and procedures to manage and mitigate crypto specific risks
- Open discussion

Speaker: Dr Stephen Strickland, Chief Compliance Officer, FinTech DeFi Platform

Session 8: Conclusion (8 June from 09.45 to 10.45 UK time)

- Update on current hot issues
- Q & A session
- The Case Study – key points to note including timelines for submission of answers
- Close

Speaker: Ben Goh, MD, Financial Services Compliance Limited and David Skade, Director, DS Financial Crime Risk

CPD Hours

8 hours for attendance and 40 hours for submitting answers to the case study. We suggest you keep a copy of this programme with your CPD records.

DOWNLOAD REGISTRATION FORM IN WORD

You can download the event registration form in Word format, complete it and then emailed it to our generic email address: bengoh.bizmail@yahoo.co.uk

For the Event Code, use FCCP.

To download the Event Registration Form, go to <https://compliance.com/events/>

Click on “Events Registration Form”.

Alternatively, please use the form on the next page.

FCC Professionals Certification Course 2023

REGISTRATION FORM

(Please copy this form as required.)

Mr, Mrs, Miss or Title	First Names	
Surname	Position	
Company		
Address		
Town		
Postcode	Telephone	Mobile
Email address		

Declaration: I have read and agree to be legally bound by the Reservations, Cancellations and Refunds policy as stated on page 2 of this brochure and under item 5 of Important Notes below.

Signature:

Date:

IMPORTANT NOTES

1. On receipt of your registration form, we will invoice you for payment by BACS transfer. [We do not accept card payments.](#)
2. Registration Forms should be sent by email to bengoh.bizmail@yahoo.co.uk
3. If you have any queries, please contact Ben Goh by telephone, direct line: +44 1908 322450 or +44 7481 092673.
4. Updated versions of this brochure will be available at <https://ComplianceR.com>
[Information in this brochure is as known on 1 March 2023.](#)

5. IMPORTANT NOTICE

All registrations are subject to our terms of business as stated on page 2 under “Reservations, Cancellations and Refunds”.

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